

Spectral set, complete spectral set and dilation for Banach space operators

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Famous results due to von Neumann, Sz.-Nagy and Arveson assert that the following four statements are equivalent; a Hilbert space operator T is a contraction; the closed unit disk $\overline{\mathbb{D}}$ is a spectral set for T ; T can be dilated to a Hilbert space isometry; $\overline{\mathbb{D}}$ is a complete spectral set for T . In this talk, we show by counterexamples that no two of them are equivalent for Banach space operators. If \mathcal{F}_r is the family of all complex Banach space operators having norm less than or equal to r and if D_R denotes the open disk in the complex plane with centre at the origin and radius R , then we show by an application of Bohr's theorem that \overline{D}_R is the minimal spectral set for \mathcal{F}_r if and only if $r = R/3$. For a complex Banach space \mathbb{X} , we show that the following statements are equivalent: (i) \mathbb{X} is a Hilbert space; (ii) $\overline{\mathbb{D}}$ is a spectral set for the forward shift operator M_z on $\ell_2(\mathbb{X})$; (iii) $\overline{\mathbb{D}}$ is a spectral set for the backward shift operator \widehat{M}_z on $\ell_2(\mathbb{X})$; (iv) $\overline{\mathbb{D}}$ is a spectral set for every strict contraction on \mathbb{X} ; (v) $\overline{\mathbb{D}}$ is a complete spectral set for every contraction T on \mathbb{X} with $\|T\| = 1$; (vi) $\overline{\mathbb{D}}$ is a complete spectral set of the identity operator $I_{\mathbb{X}}$ on \mathbb{X} .

This is a joint work with Swapan Jana.

- [1] S. Jana and S. Pal, Spectral set, complete spectral set and dilation for Banach space operators, *Preprint*, <https://arxiv.org/abs/2411.01605>.